WOLLMUTH MAHER & DEUTSCH LLP

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Adam M. Bialek Fletcher W. Strong

Special Counsel for Lehman Brothers Holdings Inc. and Certain of its Affiliates

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

	X	
		Chapter 11
In re:	:	
		Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS INC., et al.		
		(Jointly Administered)
Debtors.	:	
	X	

# NOTICE OF SUBPOENA ISSUED PURSUANT TO ORDER GRANTING THE DEBTORS AUTHORITY TO ISSUE SUBPOENAS FOR THE PRODUCTION OF DOCUMENTS AND AUTHORIZING THE EXAMINATION OF PERSONS AND ENTITIES

Pursuant to the Order Granting the Debtors Authority to Issue Subpoenas for the Production of Documents and Authorizing the Examination of Persons and Entities, entered on November 23, 2009 (the "Order"), the Court granted Lehman Brothers Holdings Inc. and its affiliated debtors in the above-referenced chapter 11 cases (together, the "Debtors") authority to issue subpoenas requiring persons or entities to produce documents to the Debtors and/or submit to examinations by the Debtors.

<sup>&</sup>lt;sup>1</sup> All capitalized terms used but not defined herein shall have the meanings ascribed to them in the Order.

As required by the Order, the Debtors hereby file this notice of subpoena issued by the Debtors pursuant to the Order. The date the subpoena was served, the name of the entity and the date, time and place for the production of documents are set forth on "Exhibit A" attached hereto.

Dated: September 5, 2014 New York, New York

WOLLMUTH MAHER & DEUTSCH LLP

By: /s/ Adam M. Bialek
Adam M. Bialek
Fletcher W. Strong

500 Fifth Avenue New York, New York 10110 (212) 382-3300

Special Counsel for Lehman Brothers Holdings Inc. and Certain of its Affiliates

#### Exhibit A

#### NOTICE OF SUBPOENA

Name of Witness:	National Financial Services LLC
Date of Service of Subpoena:	September 4, 2014
Subject of Subpoena:	The production of documents pursuant to Fed. R. Bankr. P. 2004
Date, Time and Place of any Examination:	Documents to be furnished by September 10, 2014 at 10:00 a.m. (EST) at Wollmuth Maher & Deutsch LLP, 500 Fifth Avenue, New York, New York 10110.

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UNITED STATES BANKRUPTCY COURT

COUNTY OF NEW YORK )

SOUTHERN DISTRICT	OF NEW YORK	<u>.</u>	
In re		-x :	Chapter 11
LEHMAN BROTHERS HOLDINGS INC., et al.,		:	Case No. 08-13555 (SCC)
	Debtors.	: : -X	(Jointly Administered)
STATE OF NEW YORK	) ) ss:		

MATTHEW R. BENINCASA, being duly sworn, deposes and says that he is over the age of eighteen years, is employed at the law firm Wollmuth Maher & Deutsch LLP, and is not a party to this action.

That on the 4th day of September, 2014, deponent caused true and correct copies of the SUBPOENA FOR A RULE 2004 EXAMINATION, dated September 3, 2014, and ORDER GRANTING THE DEBTORS AUTHORITY TO ISSUE SUBPOENAS FOR THE PRODUCTION OF DOCUMENTS AND AUTHORIZING THE EXAMINATION OF PERSONS AND ENTITIES, dated November 23, 2009 (collectively, the "Subpoena") to be served BY HAND DELIVERY on:

National Financial Services LLC c/o CT Corporation System 111 Eighth Avenue, 13<sup>th</sup> Floor New York, New York, 10011

Service was accepted at the above location by Elena Bou, Process Specialist of CT Corporation System at approximately 10:48 AM on September 4, 2014. Ms. Bou is a white female, approximately 5 feet 8 inches tall, and weighing approximately 130 pounds.

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In addition, on the 4th day of September, 2014, true and correct copies of the Subpoena were also served via FEDERAL EXPRESS upon the following entities:

Jacob H. Stillman, Esq.
Katharine B. Gresham, Esq.
Mark Pennington, Esq.
Dimple Gupta, Esq.
U.S. Securities and Exchange Commission 100 F Street, NE
Washington, D.C. 20549

Internal Revenue Service Special Procedures Branch Attn: District Director 290 Broadway New York, New York 10007

Elisabetta G. Gasparini, Esq. Andrea B. Schwartz, Esq. Office of the United States Trustee Southern District of New York 33 Whitehall Street, 21st Floor New York, New York 10004 Alistaire Bambach
Patricia Schrage
U.S. Securities and Exchange Commission
3 World Financial Center
New York, New York 10281

Danna Drori, Esq. Robert William Yalen, Esq. Assistant United States Attorney (S.D.N.Y.) For the Internal Revenue Service 86 Chambers Street, 3rd Floor New York, New York 10007

Matthew R. Benincasa

Sworn to before me this day of September, 2014

Notary Public

MARTINA S FREDERICK
Notary Public - State of New York
NO. 01FR6236978
Qualified in Westchester County
My Commission Expires 3/4/15